

12-05-01

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## UTILITY PATENT APPLICATION

Attorney Docket No. 011548

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Applicant: Jarvis et al.

Serial No.: to be assigned

Filed: November 23, 2001

Title: FINANCIAL TRADING GAME

) I hereby certify that this correspondence is being  
 ) deposited with the United States Postal Service with  
 ) sufficient postage as EXPRESS MAIL in an envelope,  
 ) addressed to: Box NEW APPLICATION, Commissioner  
 ) for Patents, Washington, D.C. 20231 on November 23,  
 ) 2001, Express Label No.: E7126941145US.

Max Shaftal

Registration No. 31,422

TRANSMITTAL FORM

Box NEW APPLICATION (FEE)  
 COMMISSIONER FOR PATENTS  
 Washington, DC 20231

Sir:

Transmitted herewith for filing of the above referenced utility patent application are:

- (X) 31 pages of specification, including 48 claims and an abstract.  
 (X) 1 unexecuted oath or declaration, with power of attorney.  
 (X) 9 sheet(s) of informal drawing(s).  
 (X) Request and Certification Under 35 USC §122(b)(2)(i)

Fee Calculation For Claims As Filed

a) Basic Fee						\$740.00
b) Independent Claims	6	-	3	=	3	x \$ 84.00 = \$252.00
c) Total Claims	48	-	20	=	28	x \$ 18.00 = \$504.00
d) Fee for Multiple Claims						\$260.00 = \$0.00
Total Filing Fee						<u>\$1,496.00</u>
Small Entity Fee (reduced by half)						\$748.00

- (X) Applicant hereby claims and asserts **Small Entity Status** under 37 CFR §1.27(c).  
 (X) A check in the amount of \$748.00 to cover the filing fee is enclosed.  
 (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this petition, or credit any overpayment, to Deposit Account No. 50-1325. Should no proper amount be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1325. A duplicate copy of this sheet is enclosed.

November 23, 2001  
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By:

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Jc781 U.S. PTO  
 10/002553  
 11/23/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

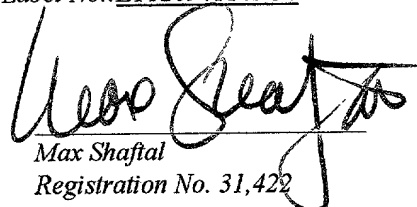
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REQUEST AND CERTIFICATION UNDER 35 U.S.C. §122(b)(2)(i)

Box NEW APPLICATION (FEE)  
COMMISSIONER FOR PATENTS  
Washington, DC 20231

Sir:

I hereby certify on behalf of applicant that to the best of my knowledge and belief the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. On behalf of applicant, I hereby request that the attached application not be published under 35 U.S.C. §122(b).

Dated: November 23, 2001

  
Max Shaftal  
Attorney for Applicant

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